H20



Attorney Docket No. 34255/US/2 Express Mail Label No. EV 423 778 465 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Han-gyoo KIM

Appln. No.: 10/829,399

Filed: April 22, 2004

For: SCALABLE SERVER ARCHITECTURE

BASED ON ASYMMETRIC 3-WAY TCP

Confirmation No.: 5407

Group Art Unit: 2153

Examiner: not yet assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

- 1. Information Disclosure Statement (37 C.F.R. §§1.97(b)(3) and 1.98) (2 pages);
- 2. Information Disclosure Statement by Applicant Form PTO-1449 (2 pages);
- 3. Certificate of Mailing by Express Mail (1 page); and
- 4. Return Card.

relating to the above application, were deposited under 37 C.F.R. §1.10 as "Express Mail," Mailing Label No. EV 423 778 465 US with the United States Postal Service, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this _____ day of November 2004.

Mailer

Date: 11/9/2004

Signature of Mailer

DORSEY & WHITNEY LLP

USPTO Customer No. 20686

PATENT Attorney Docket No. 34255/US/2 Express Mail Label No. EV 423 778 465 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant

: Han-gyoo KIM

Appln. No.

: 10/829,399

Filed

: April 22, 2004

Title

: SCALABLE SERVER

ARCHITECTURE BASED ON

ASYMMETRIC 3-WAY TCP

Confirmation No: 5407

Group Art Unit: 2153

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §§1.97(b)(3) and 1.98)

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to the U.S. Patent and Trademark Office's July 11, 2003 waiver of the requirements of 37 C.F.R. § 1.98(a)(2)(i), copies of U.S. patents are not provided.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office action. Therefore, pursuant to 37 C.F.R. 1.97(b)(3), no fees are due with respect to this filing. However, should any such fees or petitions be required, please consider this a request therefor and authorization to charge Deposit Account No. 04-1415 as necessary.

Serial No. 10/829,399 Attorney Docket No. 34255/US/2 Express Mail Label No. EV 423 778 465 US

Should the Examiner have any questions, please contact the undersigned attorney.

Dated: Novemer 9 Lay

Respectfully submitted,

S. Craig Hernenway, Registration No. 44,759 Attorney for Applicant

USPTO Customer No. 20686

DORSEY & WHITNEY LLP 370 Seventeenth Street, Suite 4700 Denver, Colorado 80202-5647

Tel: 303-629-3400 Fax: 303-629-3450

4853-0020-1984\1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	APPLN. NO.
(Modified) PATENT AND TRADEMARK OFFICE	34255/US/2	10/829,399
INFORMATION DISCLOSURE	APPLICANT:	
STATEMENT BY APPLICANT	∖ Han-gyoo KIM	
(Use several sheets if necessary) NOV 0 g 2004	FILING DATE	ART UNIT
E	April 22, 2004	2153
TA ADEMANA		

			U.S. P	ATENT DOCUMENTS			
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,329,619	07/12/1994	Pagé			
	2.	5,513,314	04/30/1996	Kandasamy et al.			
	3.	5,774,660	06/30/1998	Brendel et al.			
	4.	6,128,644	10/03/2000	Nozaki			
	5.	6,175,869	01/16/2001	Ahuja et al.		***************************************	
	6.	6,314,465	11/06/2001	Paul et al.			
	7.	6,345,300	02/05/2002	Bakshi et al.			
	8.	6,317,775	11/13/2001 ·	Coile et al.			
	9.	6,360,265	03/19/2002	Falck et al.			***

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
DOCUMENT	PUBLISHED		T		TRANSLATION	
 NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
					-	
						ļ

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO. APPLN. NO.
(Modified) PATENT AND TRADEMARK OPFIGE	34255/US/2 10/829,399
INFORMATION DISCLOSURE	APPLICANT:
STATEMENT BY APPLICANT NOV 0 9 2004	Han-gyoo KIM
(Use several sheets if necessary)	FILING DATE ART UNIT
	April 22, 2004 2153
PADEMARY	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	10.	6,404,766	06/11/2002	Kitai et al.			
	11.	6,449,647	09/10/2002	Colby et al.			
	12.	6,470,389	10/22/2002	Chung et al.			
	13.	6,510,164	01/21/2003	Ramaswamy et al.			
· ·							
			<u> </u>				
							-

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION							
	DOCUMENT	PUBLISHED				TRANSLATION	
	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
							1
	- 			-	 		
							T
			****	†			+

OTHER DOCUM	ENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
YAMINED	
XAMINER	DATE CONSIDERED

in conformance and not considered. Include copy of this form with next communication to applicant.